

SELF CERTIFICATION ON TAX RESIDENCY STATUS (FATCA&CRS)

Particulars of Account Holder

Name (as in ID/Passport):

ID/Passport Number: Date of Birth:

Place of Birth:(Country) (City)

Residential Address (as in Bank's record, but should not be a P.O. Box):

..... Postal Code:

State: Country:

US Tax Residency Status (Please tick [] one of the boxes only)

For US federal income tax purpose, I represent and warrant that:

I am not a US Person¹ / Tax Resident² / Green Card Holder.

Please provide one of the following documents:

- copy of non-US passport; or
- non-US government issued identification.

Additionally, if you were born in the US, please provide:

- copy of certificate of loss of nationality of the US; or
- reasonable explanation that you do not have such a certificate:

.....

I am a US Person¹ / Tax Resident² / Green Card Holder.

Please provide your US Taxpayer Identification Number (TIN)³ or Social Security Number (SSN):

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I confirm that I am **no longer a US Person¹**.

Please provide the following documents:

- copy of non-US passport, and
- one of the following:
 - a) copy of certificate of loss of nationality of the US; or
 - b) I-407 Form; or
 - c) reasonable explanation that you do not have such a certificate:

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Other Tax Residency Status

I am a tax resident² of China only.

I am a tax resident² of China and _____ (please specify country/countries if more than 1).

I am a tax resident² of _____ (please specify country/countries if more than 1).

Please complete the following indicating (a) where you are a resident for tax purposes and (b) your TIN for each jurisdiction indicated.

Country/Jurisdiction of Tax Residence ²	Tax Identification Number (TIN) ³ or equivalent number	If no TIN ³ available, enter Reason* A, B or C	Explanation for Reason B (e.g. unemployed, minor, etc.)
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.....
.....

***Reasons:**

- A – I am resident of a country/jurisdiction which does not issue TINs to its residents.
- B – I am otherwise unable to obtain a TIN or equivalent number. (Please explain why you are unable to obtain a TIN in the above table if you have selected this reason.)
- C – TIN is not required. (Note: To be selected only if the law of the country of tax residence stated above does not require the TIN to be collected.)

- Please provide a copy of government-issued document to support your tax residence information (e.g. identity card, passport, employment pass/work permit or documents from tax authority showing recent filing of income tax). More than one supporting document may be required if you are declaring yourself as a tax resident of more than one country/jurisdiction.
- **If your country/jurisdiction of tax residence did not include the country of your residential address, please tick the following box as confirmation:**
 I am not a tax resident of the country(ies) where I am residing. By checking this box, I also confirm that I am not a tax resident of any countries other than those I have declared above.
- In the absence of the above confirmation, DBS may have to disclose your account information to tax authority based on your information held in our records. In addition, you may not be able to open additional accounts or purchase investments with us.

Notes:

¹Definition of "US Person"

- A citizen or permanent resident of the United States (e.g. US Green Card Holder or someone who meets the requirements to be considered a resident under the 'substantial presence test');
- US corporations, partnerships, estates and trusts;
- Any other person that is not a foreign (i.e. non-US) person (as defined under US federal tax law).

²Definition of "Tax Resident"

Each jurisdiction has its own rules for defining tax residence, and jurisdictions have provided information on how to determine if you are resident in the jurisdiction on the following website: <http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-residency/>. In general, you will find that tax residence is the country/jurisdiction in which you live. Special circumstances may cause you to be resident elsewhere or resident in more than one country/jurisdiction at the same time (dual residency). For more information on tax residence, please consult your tax adviser or the information at the OECD automatic exchange of information portal mentioned above.

³TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual and is used to identify the individual for the purpose of administering tax laws of such jurisdiction.

Self Certification

- I agree that the Bank may disclose and transfer to its parent company, branches, subsidiaries, affiliates or representative offices located in any jurisdiction the information contained in this form, and any information relating to my accounts and products with the Bank, in connection with or to facilitate their compliance with applicable laws and regulations.
- I certify that the information above is true, accurate and complete. If any information changes, I shall immediately inform the Bank within 30 calendar days of the change, and provide supporting documents if required.
- I consent to the Bank collecting, using and disclosing information (including disclosing information to any PRC or other government authority or agency) that I have provided for the purpose of complying with applicable laws and regulations.
- For parent/guardian/authorised representative signing on behalf of the Account Holder named above:
I warrant that I have the legal right to sign this certification and either have obtained the Account Holder's consent or have the right to consent on his/her behalf to such collection, use, disclosure and processing of his/her personal data by the Bank for the purposes of this certification.
- In case of any inconsistency between the Chinese and English versions, the Chinese version shall prevail.

Signature

Date:

*Capacity (if applicable) :

*Name/ID or Passport No.:

***NOTE:** 1) Please sign as per Bank's records; 2) If you are signing this form on behalf of a person per clause 4 above, please indicate the capacity in which you are signing this form and ID/Passport number. If you are signing under a power of attorney, please also attach a certified copy of the power of attorney.

FOR BANK USE ONLY (银行专用栏)

各签署确认人需在自己所有对应的：(1).所有 或 勾选处进行选择，不可留白。打√ - 已完成该项检查，或，打X - 该检查项不适用。(2).在所有填写处填写相应信息，不适用处请写 N/A，不可留白。

见证员工尽职勾选及签署确认	尽职审查内容勾选： <input type="checkbox"/> 1.确认见证该客户亲临银行并由本人签署确认此声明 <input type="checkbox"/> 2.客户身份审核 <input type="checkbox"/> 3.完整性 签署确认： 员工姓名： _____ 日期： _____
经办与复核员工尽职勾选及签署确认	经办柜员(Attended By)： 尽职审查内容勾选： <input type="checkbox"/> 1.客户签署验印 <input type="checkbox"/> 2.客户身份审核 <input type="checkbox"/> 3.临柜见证了客户 <input type="checkbox"/> 4.合规性 <input type="checkbox"/> 5.真实性 <input type="checkbox"/> 6.系统录入 签署确认： 员工姓名： _____ 日期： _____
	复核员工(Checked By)： 1. 尽职审查内容勾选： <input type="checkbox"/> 1.客户签署验印 <input type="checkbox"/> 2.客户身份审核 <input type="checkbox"/> 3.临柜见证了客户 <input type="checkbox"/> 4.合规性 <input type="checkbox"/> 5.真实性 <input type="checkbox"/> 6.系统授权 签署确认： 员工姓名： _____ 日期： _____